

If  $k_{-1} \approx k_2$  and  $\rho k_{-1} > \rho k_2$  (as is presumably the case here since the reaction center for removal of a proton from carbon ( $k_{-1}$ ) is closer and more directly linked to the aromatic ring than that for addition of hydroxide ion to the aldehyde ( $k_2$ )) then  $\rho(k_{-1} + k_2) \approx \rho k_{-1}$  and

$$\begin{aligned}\rho k &= \rho k_1 - \rho k_{-1} \\ &= -\rho K_a\end{aligned}$$

A value of  $-1.0$  (the observed<sup>3</sup>  $\rho k$ ) is not at all unreasonable for  $-\rho K_a$ .

We conclude then that Scheme IV best represents the mechanism of hydrolysis of benzoylactaldehyde. It is essentially the scheme of Pearson and Mayerle (Scheme I) but takes into account the fact that protonation of the enolate anion by water can become rate limiting at high pH in cases of sufficiently strongly acidic dicarbonyl compounds. The situation is thus likely common. Another example is probably that of thenoyltrifluoroacetone, whose hydrate is reported to cleave rapidly in alkali but whose enolate is inert.<sup>16</sup>

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## 1,3-Dicarbonyl-2-ketimines. Hydrolysis of 1,3-Dimethyl-5-(*p*-tolylimino)barbituric Acid<sup>1a</sup>

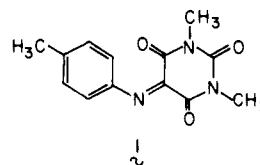
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**Abstract:** The hydrolysis of 1,3-dimethyl-5-(*p*-tolylimino)barbituric acid (**1**) in dilute aqueous solution at zero buffer concentration follows a rate law,  $v = k_H[1][H_3O^+] + k_{OH}[1][OH^-]$ . Under neutral and basic conditions attack by hydroxide ion occurs at an acyl carbon of **1** to give 1,3-dimethyl-5-(*p*-tolylimino)hydantoin in dilute solution. Analogies between the base hydrolysis of **1** and that of 3-methyl-10-arylisoxaloxazines are discussed. In acidic solution nucleophilic attack of water occurs at the imino carbon of **1** to give *p*-toluidine and 1,3-dimethylalloxan, as a result of the greatly increased electrophilicity of the protonated imino group. Hydrolysis of **1** under acidic conditions is subject to general acid catalysis with  $\alpha = 0.72$ , corresponding mechanistically to general base catalysis of rate-determining water attack upon the protonated imino group with  $\beta = 0.28$ . The lack of a substantial difference between the Brønsted  $\beta$  values for catalysis of water attack on protonated **1** and on protonated simple aromatic aldimines suggests that there is little or no change in the extent of proton transfer in the transition state with increasing electron withdrawal at the central carbon atom in these reactions.

In 1971, Hamilton<sup>2</sup> noted that the C4a-N5 bond of oxidized flavins resembles, in some respects, the carbon-nitrogen double bond of an imine, and in light of this similarity, he suggested that nucleophilic attack of a substrate at C4a might provide a reasonable first step for a hypothetical mechanism of enzymatic oxidation of substrates mediated by flavin coenzymes. Subsequent investigations<sup>3</sup> of flavins and isoxaloxazines have indicated that both C4a and N5, as well as C4 and C10a, are capable of electrophilic behavior toward different nucleophiles. Hamilton's suggestion concerning the electrophilic character of the C4a-N5 bond has prompted our interest in the detailed mechanisms of reactions of simple imines of electron-deficient carbonyl compounds that, analogous to isoxaloxazines, possess strongly resonance electron-withdrawing substituents at carbon. 1,3-Dicarbonyl-2-ketimines are compounds of this type. In this paper we describe the

kinetics and products of hydrolysis of 1,3-dimethyl-5-*p*-tolylimino)barbituric acid (**1**), a reaction that exhibits analogies to the hydrolysis of substituted isoxaloxazines.<sup>3d</sup>



## Experimental Section

**Materials.** Reagent grade inorganic compounds and formic and acetic acids were used without further purification. Other organic reagents were recrystallized or distilled before use. Acetonitrile used in the kinetic experiments was distilled. Glass-distilled or distilled and deionized water was used in all experiments.



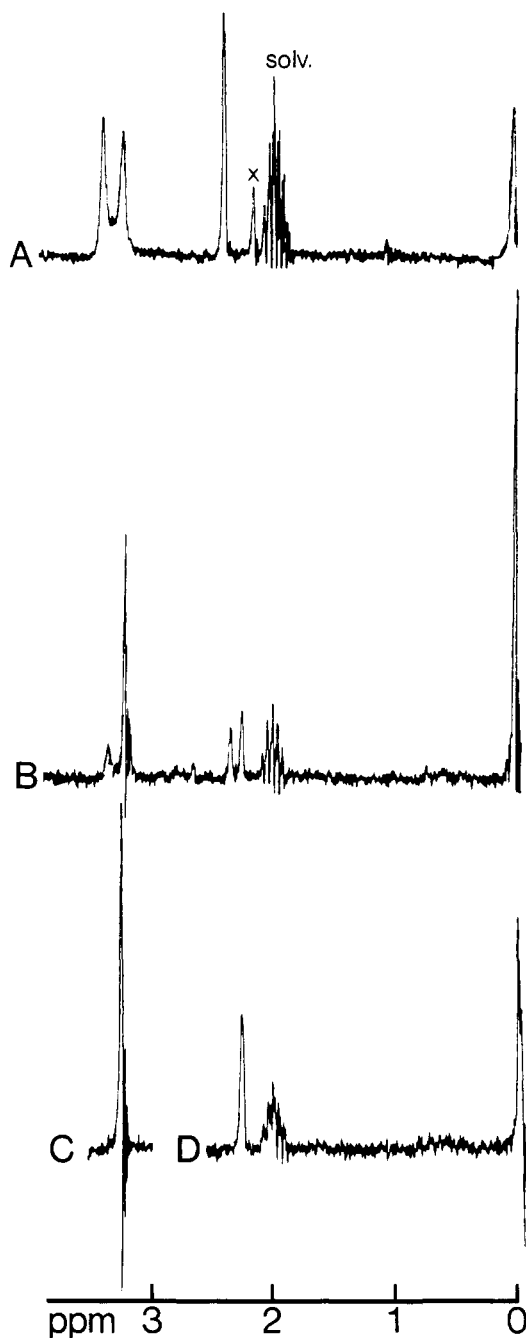


Figure 1. NMR spectra of **1** in  $\text{CD}_3\text{CN}$  (A); reaction mixture of 0.1 M **1** with formic acid–sodium formate buffer, 50% base, in 50%  $\text{CD}_3\text{CN}$ – $\text{D}_2\text{O}$ , corresponding to approximately 72% hydrolysis of **1** (B); authentic dimethylalloxan (C) and *p*-toluidine (D) in the above buffer. The peak marked X in spectrum A is due to a trace of water in the acetonitrile solvent.

experiments. Attempts to isolate **2** from more concentrated neutral reaction mixtures resulted instead in the isolation of iminohydantoin **3**. Based on this concentration dependence and the observation that carrying out the reaction under nitrogen did not significantly affect the relative amounts of **2** and **3** produced (as shown by thin layer chromatography) we speculate that **3** is formed by a bimolecular oxidation of **2** or some precursor thereof in which unreacted **1**, rather than molecular oxygen, acts as the oxidant.

Kinetics of the hydrolysis of **1** in dilute aqueous solutions at 25 °C were followed spectrophotometrically. A typical scan of the ultraviolet spectral changes observed in neutral solution as a function of time is shown in Figure 2. Figure 3 shows the

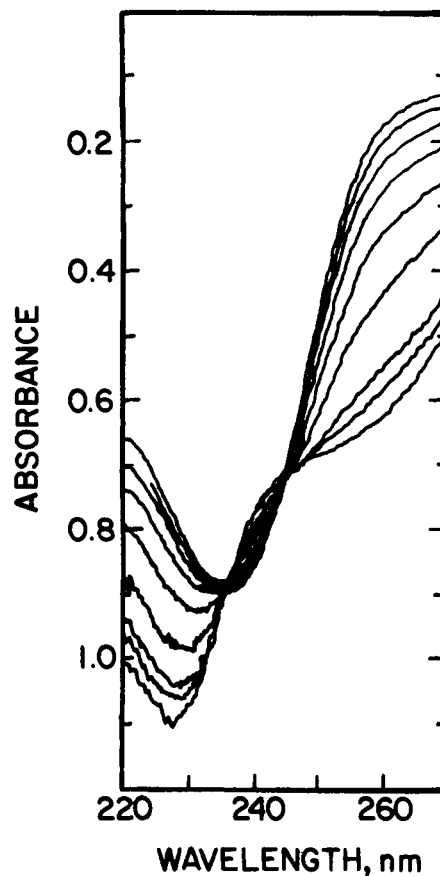


Figure 2. Ultraviolet spectra as a function of time for the hydrolysis of  $\sim 7 \times 10^{-5}$  M **1** in 0.1 M potassium phosphate–biphosphate buffer, 76% dianion, ionic strength 1.0 M. Absorbance at highest and lowest wavelengths shown decreases with time. Initial scan, 15 s; final, 23.4 min.

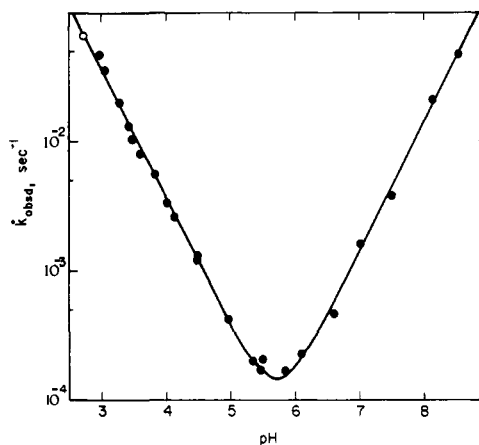


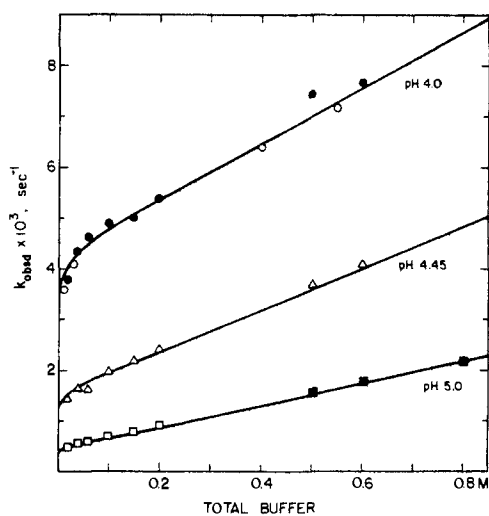
Figure 3. Effect of pH on the pseudo-first-order rate constants,  $k_{\text{obsd}}^0$ , for hydrolysis of **1** at 25 °C, ionic strength 1.0 M, in dilute hydrochloric acid solution (O) or extrapolated to zero buffer concentration (●). The line is a theoretical curve corresponding to the rate constants  $k_{\text{H}}$  and  $k_{\text{OH}}$  given in the text.

effect of the pH on the pseudo-first-order rate constants,  $k_{\text{obsd}}^0$ , for disappearance of **1**, at zero buffer concentration. The reaction follows a rate law,  $v = k_{\text{obsd}}^0[\mathbf{1}] = k_{\text{H}}[\text{H}_3\text{O}^+][\mathbf{1}] + k_{\text{OH}}[\text{OH}^-][\mathbf{1}]$ , with  $k_{\text{H}}$  and  $k_{\text{OH}}$  equal to  $38 \text{ M}^{-1} \text{ s}^{-1}$  and  $1.4 \times 10^4 \text{ M}^{-1} \text{ s}^{-1}$  (in terms of antilog  $[-\text{pH}]$  or antilog  $[\text{pH} - 14]$ ), respectively. Buffer catalysis of hydrolysis is observed on the acid limb of the pH–rate profile but no detectable general catalysis (less than 20% acceleration of the reaction

**Table I.** General Acid Catalysis of Hydrolysis of 1,3-Dimethyl-5-(*p*-tolylimino)barbituric Acid<sup>a</sup>

Acid	pK <sub>a</sub> '	Fraction conj acid	Concn range, <sup>b</sup> M	k <sub>app</sub> , <sup>c</sup> M <sup>-2</sup> s <sup>-1</sup>	k <sub>cat</sub> (av), M <sup>-2</sup> s <sup>-1</sup>
Chloroacetic (CA)	2.70 <sup>d</sup>	0.10	0.02–0.20	0.014	0.14
		0.20	0.02–0.20	0.028	
Methoxyacetic (MA)	3.40 <sup>d</sup>	0.43	0.02–0.55	0.020	0.050
		0.67	0.02–0.55	0.036	
Formic (F)	3.56 <sup>d</sup>	0.20	0.02–0.40	0.0068	0.037
		0.50	0.02–0.20	0.012	
		0.64	0.02–0.40	0.034	
β-Chloropropionic (BCP)	3.93 <sup>d</sup>	0.21	0.02–0.30	0.003	0.021
		0.46	0.02–0.30	0.012	
		0.73	0.02–0.30	0.017	
Acetic (A)	4.65 <sup>d</sup>	0.31	0.02–0.60	0.0023	0.0068
		0.60	0.04–0.60	0.0039 <sup>e</sup>	
		0.80	0.04–0.60	0.0053 <sup>e</sup>	
		0.30	0.025–0.20	0.00068	
Dimethylmalonic (DMM)	5.44 <sup>d</sup>	0.30	0.025–0.20	0.00068	0.0023
		0.50	0.01–0.10	0.0012	

<sup>a</sup> At 25 °C, ionic strength 1.0 M (KCl). <sup>b</sup> Total buffer concentration. <sup>c</sup> In terms of total buffer. <sup>d</sup> J. M. Sayer and W. P. Jencks, *J. Am. Chem. Soc.*, **91**, 6353–6361 (1969). <sup>e</sup> Based on the linear portion only of plots of k<sub>obsd</sub> against buffer concentration.



**Figure 4.** Catalysis of hydrolysis of **1** by acetic acid-acetate buffers at 25 °C, ionic strength 1.0 M. The lines are theoretical curves based on eq 7 and the individual rate constants given in the text. Solid and open symbols represent results of separate experiments.

in the presence of 0.2 M imidazole and triethylenediamine buffers) is found in basic solution. The buffer catalysis in acid solutions is kinetically general acid catalysis and follows the rate law given by eq 1, with  $k_{cat} = k_{app}/\alpha$ , where  $\alpha$  equals the fraction of buffer present as the conjugate acid. Catalytic constants for a series of carboxylic acid buffers are summarized in Table I.

$$v = k^0_{obsd}[1] + k_{app}[\text{total buffer}][1] \\ = k^0_{obsd}[1] + k_{cat}[\text{HA}][1] \quad (1)$$

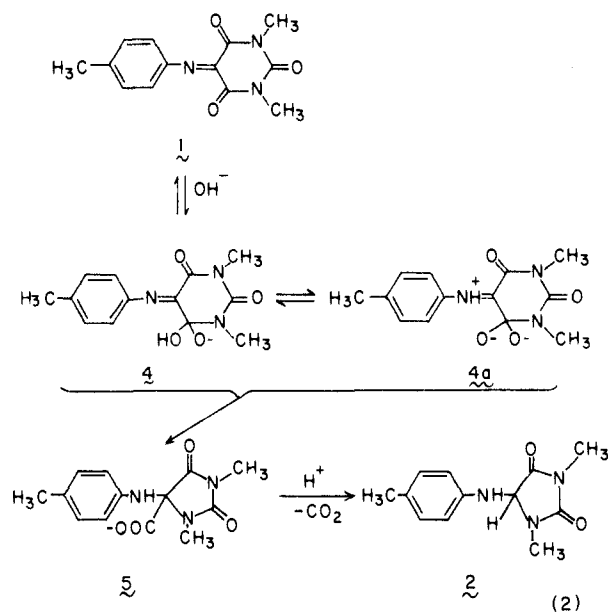
Catalysis of the hydrolysis of **1** in acetic acid-acetate buffer solution, pH 4.0, shows a nonlinear dependence on buffer concentration (Figure 4). This small effect, which resulted in a maximum deviation of the rate constants for the reaction below 0.05 M buffer of 15–20% from the line established at higher buffer concentrations, was detectable only with acetate buffer at pH 4.0 but was reproducible in two separate experiments under these conditions.

### Discussion

The present results show that, like isoalloxazines, a simple 1,3-dicarbonyl-2-ketimine **1** is capable of acting as an ambident

electrophile. In the hydrolysis of this compound the predominant site of nucleophilic attack changes from an acyl to an imino carbon as the pH is decreased and reaction of the protonated imine becomes the predominant reaction pathway.

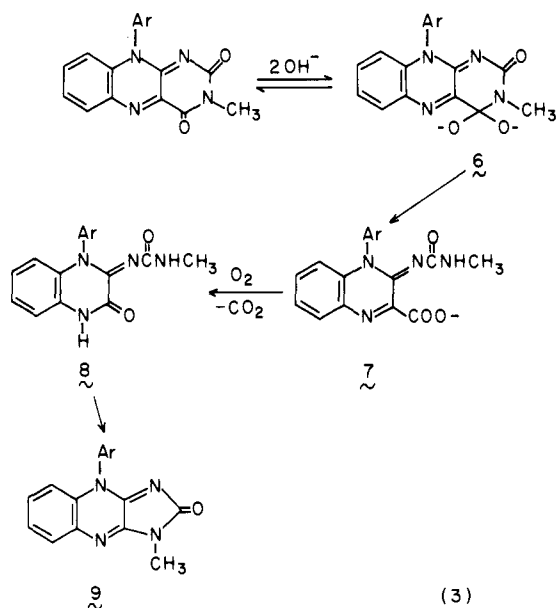
**Base Hydrolysis.** Proposed mechanisms for the reactions of the simple imine **1** and 3-methyl-10-arylisalloxazines<sup>3d</sup> with aqueous base are summarized in eq 2 and 3, respectively. The



formation of **2** from **1** shows that base hydrolysis of 1,3-dimethyl-5-(*p*-tolylimino)barbituric acid is initiated by nucleophilic attack at an acyl, rather than the imino, carbon, analogous to attack at C4 of isoalloxazines. The observation of ring contraction in the reaction products is also common to both reactions, although the mechanism of this ring contraction is different in the two systems.

Two major differences exist between the reaction of **1** and that of the isoalloxazine derivatives.

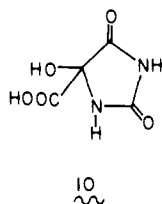
(1) The base hydrolysis (at pH 10–14) of 3-methyl-10-arylisalloxazines is second order in hydroxide ion whereas that of **1** is first order in hydroxide ion. The second-order dependence on hydroxide ion was attributed by Smith and Bruce<sup>3d</sup> to rate-determining expulsion of nitrogen from the dianionic adduct **6**. Our observations that the reaction of **1** is first order in hydroxide ion up to pH 8.5 and shows no detectable general



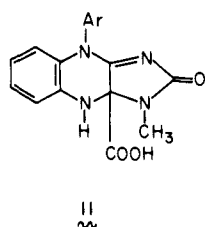
base catalysis are consistent either with rate-determining attack of hydroxide ion on **1** or rearrangement of monoanion **4** or **4a**. Although sharp isosbestic points were not obtained, spectral curves for the hydrolysis of **1** (Figure 2) do not indicate the buildup of significant quantities of any intermediates during the first 4 half-lives of the reaction at pH ca. 7.

The reaction of **1** at pH 10 is at least  $10^5$  times faster than that of 3-methyl-10-arylisalloxazines based on extrapolation of Figure 3. Since **1** must be nonplanar as a result of interference between an ortho hydrogen of the benzene ring and a carbonyl group, the much smaller reactivity of the isoalloxazine derivatives relative to **1** is probably a result of the greater kinetic and thermodynamic stability of the planar, resonance-stabilized isoalloxazine system.

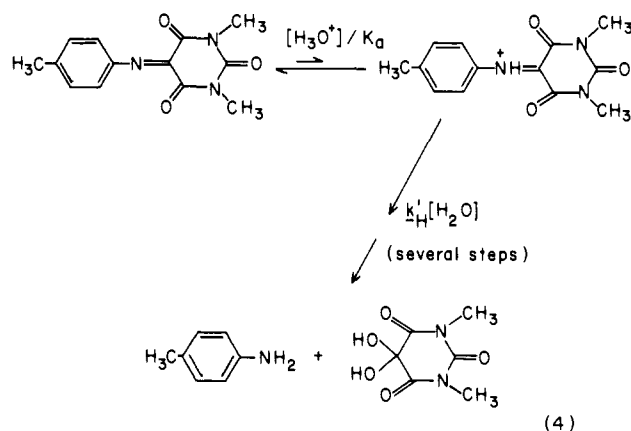
(2) The product, **2**, of hydrolysis of **1** in dilute solution on the alkaline limb of the pH-rate profile results from nonoxidative decarboxylation, probably via the  $\beta$ -carboxamido acid **5**. Precedent for an intermediate such as **5** is provided by the formation of alloxanic acid (**10**) in the base-catalyzed rear-



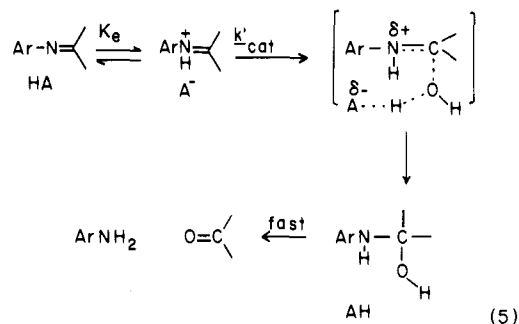
rangement of alloxan,<sup>8</sup> the parent ketone of **1**, via migration of nitrogen from an acyl to a carbonyl carbon. An oxidized derivative of **2** (corresponding to the product, **9**, obtained under aerobic conditions from isoalloxazine hydrolysis) is obtained only in the presence of high concentrations of **1**, which presumably acts as the oxidant. This is in contrast to the isoalloxazine reaction,<sup>3d</sup> in which decarboxylation does not occur in the absence of oxidation and the reduced form of the ring-contraction product **11** (analogous to **5**) is not an intermediate in the formation of **9**.



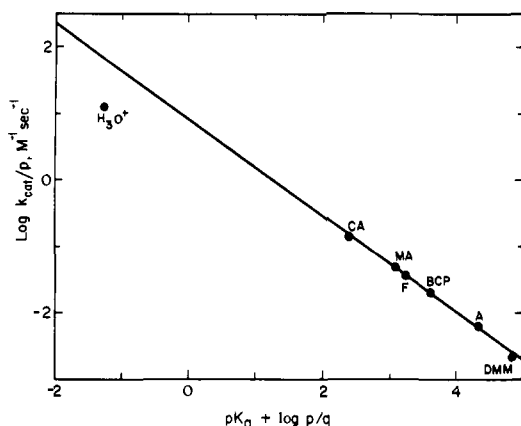
**Acid Hydrolysis.** Although the product of hydrolysis of **1** on the basic limb of the pH-rate profile corresponds to attack of hydroxide ion at C4 (or C6), the products of acid hydrolysis, *p*-toluidine and dimethylalloxan, indicate that under acid conditions nucleophilic attack of water occurs in the more "normal" position for an imine, i.e., at the imino carbon, C5. This change in the electrophilic position of the molecule with pH is a result of the greater ease of protonation of nitrogen than of oxygen and the increased electrophilicity of the protonated imino group. Hence under weakly acidic conditions attack of water on the protonated imine<sup>9-12</sup> becomes the predominant reaction pathway (eq 4), with an observed second-order rate constant,  $k_H$ , given by  $k_H = k_H'/K_a$ . This mechanism becomes favorable even at pH values well above the  $pK_a$  of the iminium ion, where the fraction of **1** in the protonated form is extremely small, because of the very high reactivity (large  $k_H'$ ) of the iminium ion; evidence for a similar effect of cation formation at the N5 position of a flavin on the electrophilicity of C4a is provided by the formation of the C4a carbinolamine of 5-ethyl-3-methylumiflavinium perchlorate<sup>13</sup> with an equilibrium constant  $K_{OH} = [>C(OH)-N<]/[>C=N<^+][OH^-]$  of  $9.5 \times 10^9 M^{-1}$ .



The hydrolysis of **1** under acidic conditions is subject to catalysis by buffers, according to the rate law given in eq 1. By analogy with other reactions of imines<sup>10,11</sup> we assign this catalysis to general base catalysis of rate-limiting water attack ( $k'_{cat}$ ) on the protonated imine (eq 5). No detectable break in

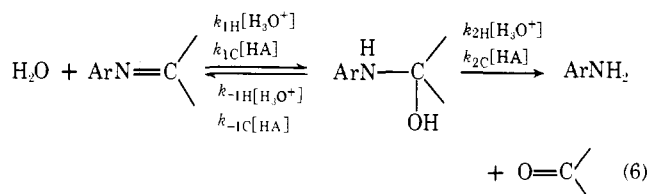


the pH-rate profile corresponding to a change from rate-limiting water attack to expulsion of *p*-toluidine<sup>9-12</sup> with changing pH is observed, but there is evidence for a partial change in the rate-limiting step with increasing concentration of acetic acid buffers. At pH 4.0 a plot of pseudo-first-order rate constants for the reaction against buffer concentration is nonlinear at buffer concentrations less than 0.05 M (Figure 4). At higher pH values the deviation from linearity is so small and occurs at such low buffer concentrations that it is experimentally undetectable. Explanations for this nonlinearity involving buffer self-association, specific salt effects, or medium effects are unlikely since the break occurs in a concentration range where these effects are expected to be negligible. Evi-



**Figure 5.** Brønsted plot for general acid catalysis of hydrolysis of **1** by carboxylic acids and hydronium ion. The acids are identified in Table I. Statistical corrections were made according to the method of R. P. Bell and P. G. Evans [(*Proc. R. Soc. London, Ser. A*, **291**, 297–323 (1966))]. The least-squares slope of the line (with  $\text{H}_3\text{O}^+$  omitted) is 0.72.

dence has been obtained for a lack of kinetically significant self-association of acetate buffers at concentrations up to 2.0 M.<sup>14</sup> The most reasonable explanation for the curvature of the buffer–rate plot, based on the behavior of similar systems,<sup>15</sup> involves a change from partially rate-determining breakdown of the intermediate to fully rate-determining water attack as the buffer concentration is increased (eq 6). At low or zero



buffer concentration expulsion of the amine occurs at a rate comparable to loss of water from the intermediate, so that amine expulsion is partly rate determining. If this step is much more sensitive to buffer catalysis than attack and loss of water,<sup>15</sup> increasing the buffer concentration will increase the rate of amine expulsion more than it increases the rate of attack and loss of water, so that at moderate or high buffer concentrations amine expulsion is very fast, the attack step becomes entirely rate determining, and  $k_{\text{cat}}$  (eq 1) =  $k_{1\text{C}}$ . The steady-state rate law for this mechanism is given by eq 7, where  $K_{\text{h}} = k_{1\text{H}}/k_{-1\text{H}} = k_{1\text{C}}/k_{-1\text{C}}$ .

$$k_{\text{obsd}} = \frac{K_{\text{h}}(k_{1\text{H}}[\text{H}_3\text{O}^+] + k_{1\text{C}}[\text{HA}])(k_{2\text{H}}[\text{H}_3\text{O}^+] + k_{2\text{C}}[\text{HA}])}{(k_{1\text{H}} + K_{\text{h}}k_{2\text{H}})[\text{H}_3\text{O}^+] + (k_{1\text{C}} + K_{\text{h}}k_{2\text{C}})[\text{HA}]} \quad (7)$$

The data of Figure 4 at three pH values can be fit by curves calculated from eq 7 with  $k_{1\text{H}} = 44 \text{ M}^{-1} \text{ s}^{-1}$ ,  $k_{1\text{C}} = 6.8 \times 10^{-3} \text{ M}^{-1} \text{ s}^{-1}$ ,  $K_{\text{h}}k_{2\text{H}} = 170 \text{ M}^{-1} \text{ s}^{-1}$ , and  $K_{\text{h}}k_{2\text{C}} = 1.5 \text{ M}^{-1} \text{ s}^{-1}$ . Because of the very small contribution of amine expulsion to  $k_{\text{obsd}}$ , even at low buffer concentrations, values of  $K_{\text{h}}k_{2\text{H}}$  and  $K_{\text{h}}k_{2\text{C}}$  can only be estimated approximately. Even at zero buffer concentration, where the hydronium ion catalyzed expulsion of amine ( $k_{2\text{H}}$ ) makes its maximal contribution to the observed rate constant, this step is approximately four times faster than the expulsion of water ( $k_{-1\text{H}}$ ) since  $k_{2\text{H}}/k_{-1\text{H}} = K_{\text{h}}k_{2\text{H}}/k_{1\text{H}}$ . Hence under most experimental conditions, involving finite buffer concentrations, the first step is almost entirely rate determining. As the pH and base:acid ratio of the buffer are increased the break in the plots (Figure 4) occurs

at increasingly lower buffer concentrations and is experimentally undetectable at pH 4.45 and 5.0.

The Brønsted  $\alpha$  value for catalysis of hydrolysis of **1** by carboxylic acids (Figure 5) is 0.72, corresponding to a  $\beta$  value for general base catalysis ( $k'_{\text{cat}}$ ; eq 5) of 0.28, or an  $\alpha$  value for the reverse reaction, general acid catalysis of carbinolamine dehydration, of 0.72. The point for hydronium ion shows a negative deviation of slightly less than an order of magnitude from the line determined for carboxylic acids.<sup>16</sup> A line including the hydronium ion has a least-squares slope of 0.6. The observed Brønsted coefficients for **1** are similar to the  $\beta$  value of 0.27 for general base catalysis of water attack on the benzhydrylidenedimethylammonium ion,<sup>10</sup>  $\alpha$  values of 0.6–0.77 for general-acid-catalyzed dehydration of carbinolamines derived from 4-chlorobenzaldehyde and substituted hydrazines<sup>17</sup> and hydroxylamine,<sup>11</sup> and  $\alpha$  values of 0.65–0.8 for dehydration of the phenylhydrazine carbinolamines of 2-, 3-, and 4-formyl-1-methylpyridinium iodides.<sup>18</sup> If the assumption<sup>19</sup> is made that a Brønsted  $\beta$  value of 0.28 means that the reaction behaves “as if” approximately 0.3 of the negative charge on the carboxylate ion has been neutralized in the transition state and the proton transfer to the catalyst is about 0.3 complete, then the similarity in Brønsted coefficients for the hydrolysis of **1** and imines derived from much less electron deficient substituted benzaldehydes means that the extent of proton transfer in the transition state must be about the same for “normal” imines and the electron-deficient compound **1**. This result was unexpected in light of the prediction from structure–reactivity considerations<sup>20</sup> that increasing the electrophilic character of the central carbon atom and destabilizing the protonated imine by electron withdrawal should shift the transition state on the reaction coordinate–free energy surface toward a structure involving less proton transfer from water to the base catalyst and hence a smaller  $\beta$  value. The lack of any regular decrease in  $\beta$  value with increasing electron withdrawal at carbon for base-catalyzed formation of carbinolamines from imines of 4-chlorobenzaldehyde, 1-methylpyridinium aldehydes,<sup>18</sup> and alloxan suggests that the extent of proton transfer in these reactions is relatively insensitive to substituents on the central carbon atom. These reactions may provide another example<sup>21</sup> of a system in which the “Hammond postulate” prediction of decreasing selectivity with increasing reactivity is not experimentally demonstrable. The reasons for the apparent dissimilarity between general-acid-catalyzed carbinolamine dehydration and the analogous expulsion of phenol from substituted benzaldehyde methyl phenyl acetals,<sup>22</sup> in which  $\alpha$  varies from 0.7 to 1.0 as the benzaldehyde substituent is varied from *p*-methoxy to *m*-nitro, is at present unclear.

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## References and Notes

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